

1 **Vagotomy Decreases the Neuronal Activities of Medulla Oblongata and**
2 **Alleviates Neurogenic Inflammation of Airways Induced by Repeated**
3 **Intra-esophageal Instillation of HCl in Guinea Pigs**

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17 **Short Title:** Vagotomy Decreases Neuronal Activity and Airway Inflammation

18 **Conflict of Interest**

19 There is no conflict of interest.

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25 **Summary**

26 Neuronal activity in the medulla oblongata and neurogenic inflammation of airways were
27 investigated in a guinea pig model induced by repeated intra-esophageal instillation of
28 hydrochloric acid (HCl) after vagotomy. Unilateral vagotomy was performed in the vagotomy
29 group, while a sham-operation was performed in the sham group. Operation was not
30 conducted in sham control group. Airway inflammation was observed with hematoxylin and
31 eosin (HE) staining. C-fos protein was measured by immunohistochemistry (IHC) and
32 Western blot (WB). Substance P was examined by IHC and enzyme-linked immuno sorbent
33 assay (ELISA). Airway microvascular permeability was detected by evans blue dye (EBD)
34 fluorescence. Inflammation of airway was observed in the trachea and bronchi after chronic
35 HCl perfusion into the lower esophagus, and was alleviated after unilateral vagotomy. C-fos
36 expression in the medulla oblongata was lower in the vagotomy group compared to the sham
37 control and sham groups. Substance P-like immunoreactivity (SP-li), concentration and
38 microvascular leakage in airway were lower in the vagotomy group than that in the other
39 groups. Our results suggest that vagotomy improved neurogenic inflammation of airways and
40 decreased neuronal activities, the afferent nerves and neurons in medulla oblongata may be
41 involved in neurogenic inflammation of airways mediated by esophageal-bronchial reflex.

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43 **Keywords:** gastroesophageal reflux; airway inflammation; substance P; c-fos; vagotomy

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48 **Introduction**

49 Gastroesophageal reflux (GER) is related to chronic cough as well as asthma,
50 and the pathophysiological mechanism is involved in reflux and reflex. It is believed
51 traditionally that the gastric contents reflux into larynx, even airway result in cough, however,
52 esophageal 24 hours pH monitoring showed that only distal reflux was observed in many
53 patients with chronic cough. The neurogenic inflammation mediated by esophageal bronchial
54 reflex has been recognized, (Klauser *et al.* 1990, Irwin *et al.* 1993, Castell and Schnatz 1995,
55 Lai *et al.* 2013). Previous studies have suggested that neurogenic inflammatory mediators
56 such as substance P (SP) are increased by HCl perfusion into the lower esophagus of guinea
57 pigs(Hamamoto *et al.* 1997, Liu *et al.* 2013). The neurogenic inflammatory mediators could
58 be released via sensory nerve terminals, and cause airway microvascular leakage, infiltration
59 of inflammatory cells, cough reflex hypersensitivity. The terminal of vagus nerve is located
60 on the medulla oblongata, and the medulla neurons are involved in the respiratory,
61 cardiovascular and digest functions. It is unclear whether vagus nerve may play an important
62 role in the neurogenic inflammation of airway associated with gastroesophageal reflux. We
63 aimed to investigate the effects of vagotomy on neurogenic inflammation in the airways and
64 neuronal activities of the medulla oblongata by repeated intra-esophageal instillation of HCl
65 in guinea pigs.

66

67 **Methods**

68 **Animals**

69 The Animal Research Committee of Guangzhou Medical University and Southeast

70 University approved this study protocol. All the animal experiments and procedures were in
71 accordance with the *Guide for the Care and Use of Laboratory Animals* published by the US
72 National Institutes of Health. Male albino Hartley guinea pigs (body weight, 350–400 g) were
73 purchased from the Experimental Animal Center of Jiangsu Province. The animals (n=54)
74 were divided into sham control, sham, and vagotomy groups (n=18 for each group). Fig. 1A
75 showed the experimental process.

76 **Intra-esophageal perfusion of HCl**

77 Following a previous methods established by our group(Liu *et al.* 2013), all guinea
78 pigs receiving HCl perfusion were anesthetized with ketamine hydrochloride (50 mg/kg,
79 intraperitoneally [i.p.]), then perfused with 0.1 N HCl (including 0.5% pepsin) into the lower
80 esophagus (8 drops/min, 20 min/day) via a stomach tube once a day for 14 consecutive days.

81 **Unilateral vagotomy**

82 The vagotomy group was anesthetized with pentobarbitone (40 mg/kg, i.p.) before
83 the first HCl perfusion. Then a midline incision of the neck skin and subcutaneous fascia was
84 made to expose the muscles, and muscular and subcutaneous tissues were separated. After
85 finding the left carotid sheath, the vagus nerve was identified by morphology and location.
86 The vagus nerve was removed with a scalpel (Fig. 1B). Finally, the neck muscles and skin
87 were cleaned and sutured. When the animals recovered, they were returned to their cages for
88 feeding, and breathing and heart rates were closely monitored. Sham-operation was
89 performed in the sham operation group, but the vagus nerve was left intact.

90 **Evans blue dye detection for airway vascular permeability**

91 Evans blue dye (EBD) injection was performed in six random animals from each
92 group. Based on a previous method(Saria and Lundberg 1983), EBD (30 mg/kg) was injected

93 into the left internal jugular vein after the last HCl perfusion. Animals were anesthetized with
94 pentobarbitone (40 mg/kg, i.p.) and transcardially perfused with 100 ml 0.9% saline to
95 exclude EBD from the blood vessels. Trachea and bronchi were separated from the lungs and
96 dried with filter paper. Then parts of trachea and bronchi were coronally sectioned at 10 μ m
97 into six pieces that were observed using an Olympus fluorescence microscope. The other
98 tissues were weighed and set into methanamide at 37°C for 24 h to extract the EBD.
99 Absorbance was measured with a spectrophotometer (wavelength 620 nm). EBD
100 concentration was calculated based on the EBD standard curve (0.5–10 μ g/ml range).

101 **Tissue preparation**

102 Animals without EBD injection (n=36 totally and n=12 per group) were
103 anesthetized with pentobarbitone (40 mg/kg, i.p.). Six random animals in each group were
104 transcardially perfused with 0.3% phosphate buffered saline (PBS). The bronchi and lungs
105 were removed for ELISA, and the brainstem was removed for WB. The other six animals in
106 each group were transcardially perfused with 0.3% PBS followed by 4% paraformaldehyde in
107 PBS. The lungs and brainstem were removed for HE staining and IHC. The lung tissues were
108 embedded in paraffin and sectioned at 5 μ m, stained with hematoxylin and eosin, and
109 observed using an Olympus light microscope.

110 **ELISA**

111 The bronchi and lungs were weighed, boiled (100°C) for 10 min in 1M acetic acid
112 (1:10, wt/vol), then diluted with 0.1 M PBS and homogenized. Homogenates were transferred
113 to polypropylene tubes and centrifuged (40,000 \times g, 4°C, 20 min). Before measurement, the
114 supernatant was centrifuged again (40,000 \times g, 4°C, 20 min). SP concentration was measured
115 with an ELISA kit following the instructions.

116 **Western bolt**

117 The brainstem (total thickness 2 mm from rostral and caudal to obex) samples were
118 placed in lysis buffer containing protease inhibitors, homogenized, and then centrifuged. The
119 protein concentrations were measured using a BCA protein assay kit. Fifty micrograms of
120 total protein was separated by SDS-PAGE, then transferred by electro-blotting onto a PVDF
121 membrane. The membranes were blocked with 3% BSA in Tris-buffered saline containing
122 0.1% Tween-20 (TBST) for 1 h, followed by incubation overnight at 4°C with the primary
123 antibody (rabbit anti-Fos, 1:1000, Santa Cruz). The membranes were washed with TBST and
124 incubated for 1 h with the appropriate horseradish peroxidase (HRP)-conjugated secondary
125 antibody (1:5000, Invitrogen). The protein blots were detected using an enhanced
126 chemiluminescent substrate kit, and exposed to CL-XPosure film.

127 **Immunohistochemistry**

128 Brainstems and lung tissues were placed in 4% paraformaldehyde at 4°C for 4 h,
129 and the brainstem samples were cryoprotected in 30% sucrose at 4°C overnight. Tissues were
130 rapidly frozen with OCT and coronally sectioned at 40 µm (brainstem tissues at 20 µm) using
131 a Leica freezing microtome. The thickness of total brainstem sections was 2 mm from rostral
132 and caudal to obex. Tissue sections were incubated with 3% H₂O₂ for 15 min to block
133 endogenous peroxidase activity, washed with 0.3% PBS (3 × 5 min), incubated for 1 h at
134 room temperature with a blocking solution (10% goat serum), and incubated overnight with
135 the primary antibody (mouse anti-SP, 1:200, Abcam or rabbit anti-Fos, 1:500, Santa Cruz).
136 The tissue was washed with 0.3% PBS (3 × 5 min), followed by incubation for 1 h at room
137 temperature with a biotinylated secondary antibody (goat anti-mouse or goat anti-rabbit;
138 1:500; Abcam). After washing with 0.3% PBS (3 × 5 min), sections were incubated for 30

139 min with avidin/biotinylated horseradish peroxidase, then washed with 0.3% PBS (3 × 5 min)
140 and reacted with 0.05% 3,3'-diaminobenzidine (DAB, 5min) (lung tissues) or 0.03%
141 3-amino-9-ethylcarbazole (AEC, 10min) (brainstem tissues) staining as a chromogen.
142 Reaction time of tissue sections should be monitored under microscope, and sections were
143 observed using an Olympus light microscope.

144 **Statistical analysis**

145 Data are expressed as means ± standard deviations and were analyzed for statistical
146 differences using an analysis of variance (ANOVA) in SPSS 17.0 software. A P < 0.05 was
147 considered statistically significant. The mean EBD density, IHC immunoreactivity, and WB
148 density were determined using Image-Pro Plus. The SP density (mean of density) we
149 measured was mainly located on bronchial surrounding, which SP expression was mainly
150 distributed on. One section in every six consecutive pieces of brain sections was selected, and
151 total eight brain sections for immunohistochemistry were selected for statistics in each
152 experimental animal. One section in every six consecutive pieces of trachea, bronchia or lung
153 sections was selected, and total six sections in each tissue for immunohistochemistry or evans
154 blue dye staining were selected for statistics in each experimental animal.

155

156 **Results**

157 Animals that received left vagotomy breathed slower after surgery, but most
158 animals recovered within 2 days. No animals died due to surgery.

159 **Pathologic changes in the airway**

160 The bronchi of guinea pigs with 14 days of intra-esophagus perfusion with HCl
161 were significantly inflamed as observed under a light microscope, but inflammation was

162 alleviated by vagotomy (Fig. 2A).

163 **Vascular permeability in airway was decreased by vagotomy**

164 The mean density of EBD fluorescence in trachea was lower in the vagotomy group
165 than in the sham control and sham groups (0.71 ± 0.29 versus 1.38 ± 0.43 and 1.27 ± 0.33 ,
166 $p<0.05$), also in bronchi (0.43 ± 0.11 versus 1.29 ± 0.32 and 1.21 ± 0.34 , $p<0.05$). The
167 concentration of EBD in the trachea was lower in the vagotomy group than in the other
168 groups (25.17 ± 6.53 versus 42.53 ± 10.19 and 39.48 ± 7.91 , $p<0.05$), also in bronchi
169 (19.91 ± 4.29 versus 31.78 ± 8.83 and 32.67 ± 6.82 , $p<0.05$). Chronic perfusion induced an
170 increase in airway vascular permeability, and sham-operation had no effect on vascular
171 permeability ($p>0.05$ compared to sham control group), but vagotomy resulted in a decrease
172 in permeability compared to the other groups ($p<0.05$). EBD fluorescence and concentration
173 are shown in Fig. 2B.

174 **SP expression in airway was inhibited by vagotomy**

175 SP expression was mainly located on the airway and lungs, particularly around the
176 bronchi. SP density in bronchial surrounding was measured. SP expression was lower in the
177 vagotomy group (mean density 1.17 ± 0.21 , positive cells 99.23 ± 20.67) than in the sham
178 control (mean density 2.58 ± 0.82 , positive cells 158.17 ± 49.61) and sham groups (mean
179 density 2.29 ± 0.61 , positive cells 169.67 ± 42.23) (both $p<0.05$). SP concentration was also
180 lower in the vagotomy group (27.34 ± 12.31 vs. 38.26 ± 22.71 in sham control group and
181 42.76 ± 23.09 in sham group; $p<0.05$). There were no differences between the sham control
182 and sham groups ($p>0.05$). The data are shown in Fig. 2C.

183 **Neuronal activities in the medulla oblongata were decreased by vagotomy**

184 C-fos protein, a marker of neuronal activity, was expressed in the nuclei of the

185 medulla oblongata. C-fos-like immunoreactivity was found in the dorsal vagal complex
186 (DVC), intermediate reticular nucleus (IRT), and lateral reticular nucleus (LRT). The DVC,
187 together with IRT and LRT, regulates visceral functions. Chronic HCl perfusion induced c-fos
188 expression (left DVC 248.17 ± 67.91 , left IRT 198.68 ± 59.61 , left LRT 118.43 ± 28.23 , and right
189 DVC 228.67 ± 72.19 , right IRT 181.34 ± 42.23 , right LRT 125.13 ± 34.17) in the brainstem,
190 whereas c-fos expression did not change after sham-operation (left DVC 266.93 ± 77.92 , left
191 IRT 181.31 ± 66.59 , left LRT 128.56 ± 37.57 , and right DVC 243.07 ± 82.32 , right IRT
192 192.09 ± 55.57 , right LRT 111.17 ± 32.17). Vagotomy decreased c-fos expression (left DVC
193 103.84 ± 52.98 , left IRT 78.46 ± 31.26 , left LRT 49.67 ± 25.83 , and right DVC 95.81 ± 49.61 ,
194 right IRT 82.71 ± 38.26 , right LRT 41.92 ± 20.83) (all $p < 0.05$). There were no differences
195 between the right and left nuclei in each group ($p > 0.05$). WB analyses were in agreement
196 (Fig. 3).

197

198

199 **Discussion**

200 Vagotomy and afferent nerve blocking alleviated airway inflammation and
201 decreased SP expression, vascular permeability, and c-fos expression in the medulla
202 oblongata. These results suggest that the vagal nerve may mediate airway inflammation
203 induced by esophageal-bronchi reflex in GER-associated respiratory diseases, and that the
204 central nervous system may be involved in this process.

205 Previous studies have suggested that GER-associated cough (GERC) is mainly
206 related to neurogenic inflammation of airways, micro aspiration, and esophageal-bronchi
207 reflex (Hamamoto *et al.* 1997, Kohrogi *et al.* 2001, Kollarik and Brozmanova 2009). The

208 traditional view is that GERC is due to aspiration of gastric contents to the larynx and trachea,
209 however, most patients with GERC only showed distal reflux, rather than proximal reflux,
210 and the micro aspiration theory does not explain the mechanism of GERC(Irwin *et al.* 2000).
211 Due to the common histological origin of the trachea and esophagus, esophageal-bronchi
212 reflex may contribute to GERC by inducing neurogenic inflammation of airways. There are
213 four types of neural pathways in the airway of guinea pigs: adrenergic, cholinergic,
214 nonadrenergic noncholinergic inhibitory nerve (iNANC), and nonadrenergic noncholinergic
215 excitatory nerve (eNANC). Using atropine and propranolol to block the effects of adrenergic
216 and cholinergic nerves in a guinea pig model of one single intra-esophageal HCl perfusion,
217 previous studies(Hamamoto *et al.* 1997, Kohrogi *et al.* 2001) have proved the NANA,
218 especially eNANC could cause airway plasma extravasation and smooth muscle contractions
219 via releasing SP, NKA and CGRP. Meanwhile, there are other nerve pathways (a local axon
220 reflex pathway, and a spinal reflex) communicating between esophagus and airways
221 involving in the neurogenic inflammation. These results suggested that at least one or more
222 types of nerve pathway participated the airway neurogenic inflammation induced by HCl
223 perfusion into esophagus.

224 In this study, we found that unilateral vagotomy alleviated neurogenic
225 inflammation, which suggests that the vagal nerve may participate in the process. The vagus
226 nerve dominates the airway, could regulate respiratory movement. Vagal afferents from
227 esophagus and airway terminate in the nucleus of the solitary tract (NTS) in the medulla
228 oblongata, suggesting that under certain circumstances, the cross-connect pathway between
229 esophagus and airway maybe possible and a stimulus like gastro-esophageal reflux could
230 cause respiratory symptoms, such as cough, asthma.

231 Neuropeptides, such as SP, CGRP. SP in nodose ganglion, may be released into the
232 peripheral airway from the ganglion via the axon. SP is involved in the transmission and
233 regulation of harmful information, learning, and memory, also is an inflammatory mediator
234 that participates in the vascular leakage and mucus secretion associated with respiratory
235 diseases(Otsuka and Yoshioka 1993). Several studies have shown that SP expression is
236 increased in patients with persistent cough(Otsuka *et al.* 2011), and that it is highly expressed
237 in the bronchial mucosa of patients with cough-variant asthma(Lee *et al.* 2003). Airway
238 hyper-responsiveness induced by repeated esophageal infusion of HCl in guinea pigs was
239 associated with increased SP concentration(Liu *et al.* 2013, Cheng *et al.* 2014). SP in the
240 brain also plays a role in the regulation of cardiac and respiratory function after
241 microinjection into the NTS(Mutoh *et al.* 2000).

242 *C-fos* is a sensitive marker of neuronal activity (Pacheco-Lopez *et al.* 2002). C-Fos
243 could be used to observe neuronal excitation in the brain after a single episode of esophageal
244 acid stimulation(Suwanprathes *et al.* 2003). Neurons in the cNTS (a subnucleus of the NTS),
245 the location of central cough receptor terminals, were critical components involved in cough
246 gating(Canning and Mori 2010). In this study, expression of c-fos protein was found in the
247 DVC, IRT, and LRT. The NTS has fiber communications with the dorsal motor nucleus of the
248 vagus (DMV) and area postrema (AP), and thus is called the dorsal vagal complex (DVC).
249 The DMV directly receives vagal sensory fiber projections, and innervates the airway and
250 digestive tract via efferent fibers. The DVC, together with the IRT, nucleus ambiguus, and
251 ventrolateral medulla, form the medullary visceral zone (MVZ). The MVZ plays a key role in
252 visceral functions. We found that c-fos expression was reduced after vagotomy, and these
253 nuclei may be involved in the regulation of airway inflammation. The medulla oblongata

254 nuclei were activated after HCl perfusion into esophagus, which was alleviated by vagotomy,
255 indicating that the central neuronal sensitization may be increased in this process related to
256 gastroesophageal reflux, and brain activities may regulate airway, as CNS activities “enlarge”
257 inflammations of lung during asthma attack(Mazzone and Canning 2002, Widdicombe 2003).
258 Higher brain nuclei involving in the regulation of respiratory sensations have been proved in
259 an animal model(McGovern *et al.* 2015), whether higher brain nuclei regulate airway
260 inflammation was unclear. In our previous study, we observed that the SP expressions were
261 increased in the nodose ganglion, the first sensory neurons in the vagal afferent nerves, also
262 previous study (Mutoh *et al.* 2000) have proved that SP microinjection into NTS could
263 enhance C-fiber output, and regulate respiratory movement. We would observe whether
264 airway inflammation could be regulated by the changes of nuclei activities and
265 neurotransmitter concentration, and also to explore the activities of nuclei in the high brain.

266 In conclusion, vagotomy alleviated neurogenic inflammation of airways and
267 neuronal activity in the medulla oblongata in guinea pigs that received intra-esophagus
268 perfusion of HCl. Afferent nerves and brainstem neurons may be involved in the process of
269 inflammation.

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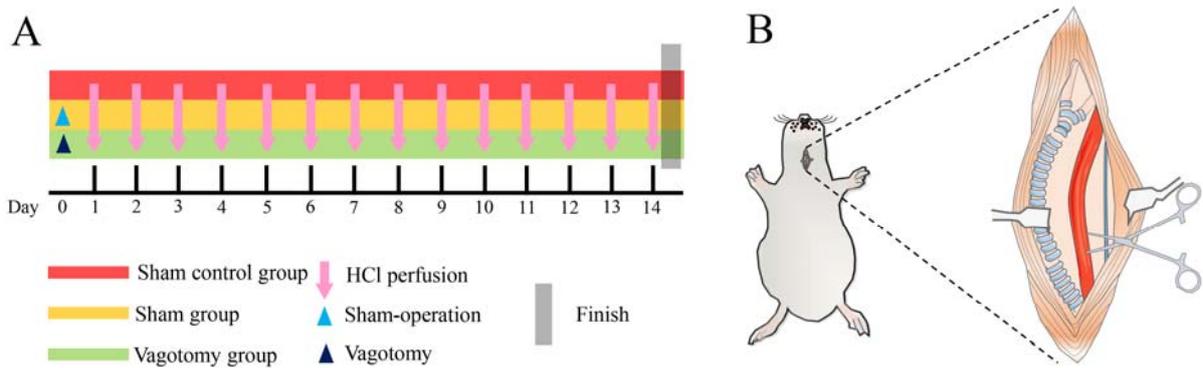
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330 **Figure legends**

331 **Fig 1. A. The experimental process and B. Vagotomy operation**



333 **Fig 2. A. Chronic inflammation in the airway and lung tissues after esophageal HCl perfusion**

334 for 14 consecutive days in the sham control group, and sham group, vagotomy group was

335 showed. Inflammation was improved after vagotomy. HE staining, $\times 200$. **B. EBD**

336 fluorescence was marked bright red in airway mucosa. Microvascular leakage was alleviated

337 after vagotomy. (white arrow, $\times 200$). The bright red fluorescence was mainly distributed in

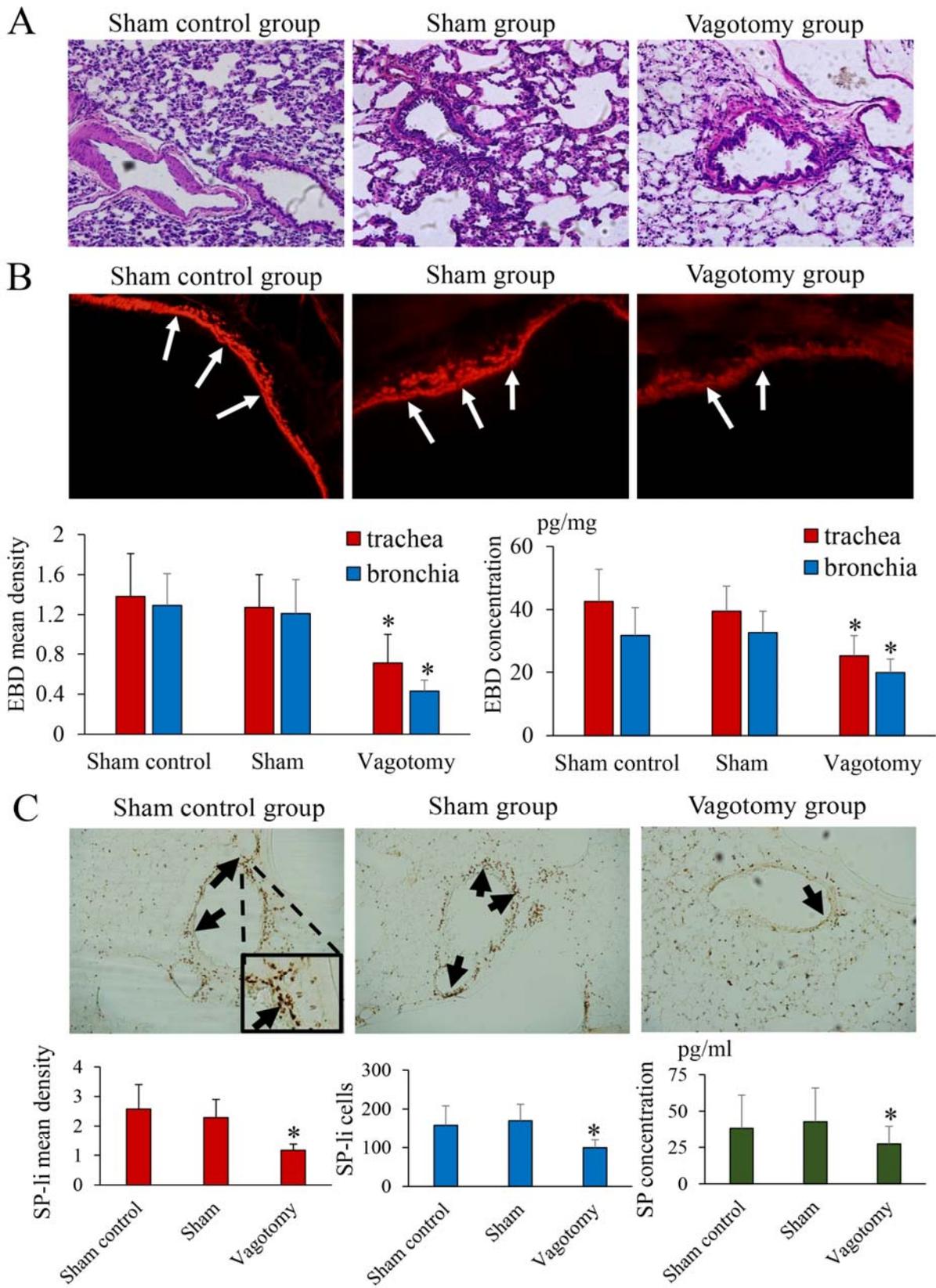
338 the mucosa. Mean density and concentration of EBD in the trachea and bronchi in each group

339 were shown. **C. SP** expression was brown stained in the airway and lung tissues, and SP

340 expression was decreased in the vagotomy group (black arrow, DAB staining, $\times 200$). SP-li

341 mean density, cells counts and concentration in each group were shown. * $p < 0.05$, vagotomy

342 group compared to sham control group and sham group).

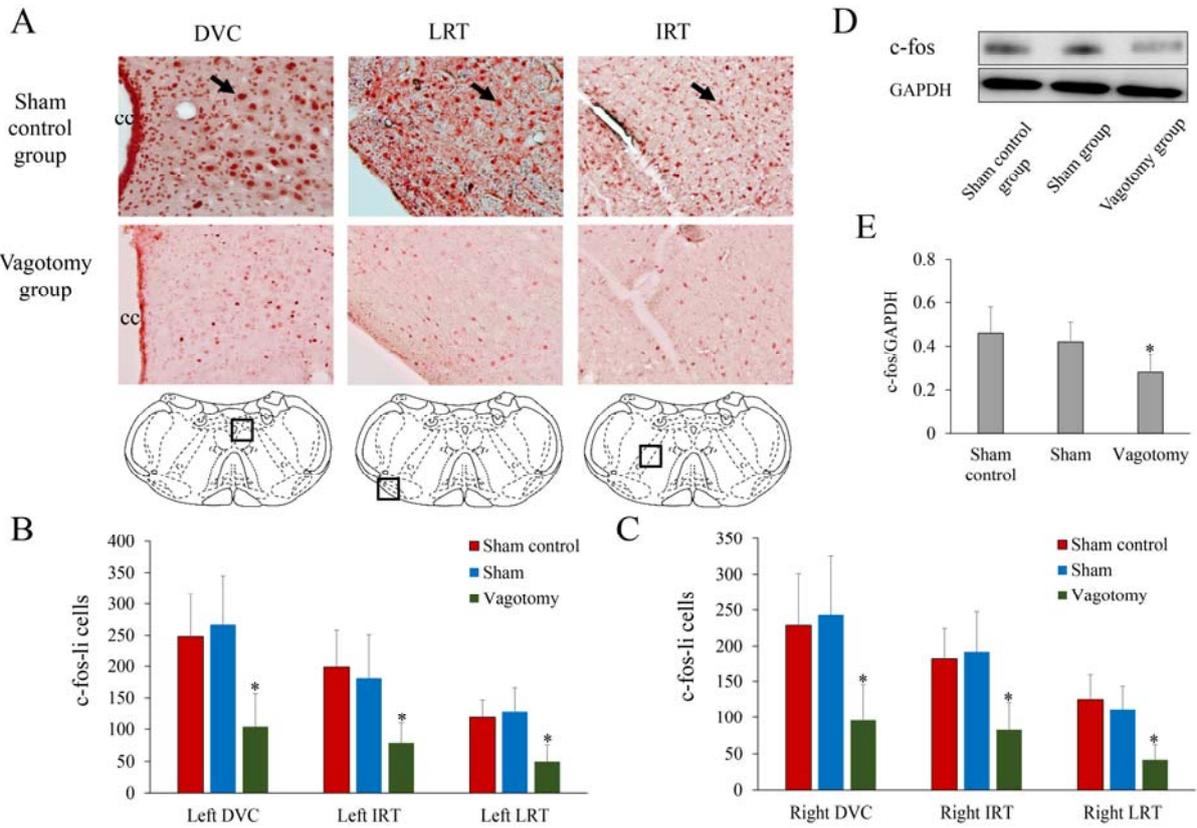


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344 **Fig 3. A.** C-fos expression was increased in the nuclei of medulla oblongata in the sham

345 control group and sham operation group (figures were not shown), but decreased after

346 vagotomy. (black arrow, AEC staining, $\times 400$). **B.** C-fos-li cells counts in the left nuclei in
 347 each group. **C.** C-fos-li cells counts in the right nuclei in each group. **D.** C-fos
 348 semi-quantitative expression of WB was shown in each group, and c-fos expression was
 349 lower than in the sham control and sham group. **E.** Ratio of c-fos/GAPDH in each group. *
 350 $p < 0.05$ in vagotomy group compared to sham control group and sham group. cc, central canal.
 351 DVC, dorsal vagal complex. LRT, lateral reticular nucleus. IRT, intermediate reticular
 352 nucleus.



353