

Coding of communication calls in the subcortical and cortical structures of the auditory system

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Summary

The processing of species-specific communication signals in the auditory system represents an important aspect of an animal's behavior and is crucial for its social interactions, reproduction, and survival. In this article the neuronal mechanisms underlying the processing of communication signals in the higher centers of the auditory system - inferior colliculus (IC), medial geniculate body (MGB) and auditory cortex (AC) - are reviewed, with particular attention to the guinea pig. The selectivity of neuronal responses for individual calls in these auditory centers in the guinea pig is usually low – most neurons respond to calls as well as to artificial sounds; the coding of complex sounds in the central auditory nuclei is apparently based on the representation of temporal and spectral features of acoustical stimuli in neural networks. Neuronal response patterns in the IC reliably match the sound envelope for calls characterized by one or more short impulses, but do not exactly fit the envelope for long calls. Also, the main spectral peaks are represented by neuronal firing rates in the IC. In comparison to the IC, response patterns in the MGB and AC demonstrate a less precise representation of the sound envelope, especially in the case of longer calls. The spectral representation is worse in the case of low-frequency calls, but not in the case of broad-band calls. The emotional content of the call may influence neuronal responses in the auditory pathway, which can be demonstrated by stimulation with time-reversed calls or by measurements performed under different levels of anaesthesia. The investigation of the principles of the neural coding of species-specific vocalizations offers some keys for understanding the neural mechanisms underlying human speech perception.

Introduction

The processing of communication signals and the spatial localization of a sound source are two key tasks of auditory system function. Communication sounds are a subset of acoustic signals expressed by animals and used in intraspecies interactions. The vocal repertoires of many animal species also include sounds that are not communicative (i.e., are not used in social interactions) but are essential for the behavior of a species, such as sonar signals emitted by echolocating bats that are used to determine the target properties of prey. The central auditory system consists of many nuclei in a hierarchical organization where sensory information is sequentially processed. Individual nuclei of the auditory pathway contribute in a specific way to the processing of complex acoustical signals. This organization reflects the function of the auditory system - to extract behaviorally relevant information from a complex acoustic environment using strategies different from those used by other sensory systems.

Understanding the principles of the neural representation of species-specific vocalizations in the auditory system may have direct implications for the neural mechanisms underlying human speech perception. Several animal models have recently been used to elucidate how vocalizations are processed in the brain, specifically in the auditory pathway. The most frequently used animals for this type of experiments are songbirds (Theunissen and Shaevitz, 2006) and mammals such as bats (Leroy and Wenstrup, 2000), rodents (Geissler and Ehret, 2004; Wallace et al., 2005), cats (Gourévitch and Eggermont, 2007) and primates (Wang, 2000). Guinea pigs represent a suitable model for studying the representation of communication signals in the neural system due to their rich repertoire of communication calls and the characteristics of their hearing, with an audible range from 50 Hz to 50 kHz. The functional organization of the guinea pig auditory system and the principles of signal processing in individual auditory nuclei have been extensively studied in our department previously. Our studies were at first focused on single unit responses to simple sound stimuli such as tone and noise bursts (Astl et al. 1996; Syka et al. 2000); later, we became interested in recording multiple unit responses to complex sound stimuli (Šuta et al. 2003, 2007; Syka 2005) and

recording unit responses with multichannel electrode probes (Kvašňák et al. 2000b).

The temporal and spike rate-based codes for the processing of the basic spectrotemporal features of communication signals are present at first at the level of the auditory periphery, where auditory-nerve fibers faithfully represent fine structures of complex sounds in their temporal discharge patterns (Young and Oertel 2003, for review). At subsequent processing structures along the ascending auditory pathway (i.e., the cochlear nucleus, inferior colliculus, medial geniculate body, and auditory cortex), the upper limit of the temporal representation of repetitive signals gradually decreases due to the biophysical properties of neurons and the temporal integration of converging inputs from one nucleus to the next. A traditional concept in the perception of vocalization signals is based on the existence of highly specific neurons, so-called call detectors. The first studies performed in the auditory cortex of awake squirrel monkeys suggested that neurons might exist in the cortex that extract specific features of calls, similarly to the feature extraction performed in the visual cortex (Wollberg and Newman 1972; Newman and Wollberg 1973; Winter and Funkenstein 1973; Newman and Symmes 1974; Manley and Muller-Preuss 1978; Newman 1978). Later, it became apparent that these neurons could respond to numerous call types, to time-reversed calls that had lost their emotional content, or even to artificial complex spectral sounds. It has been suggested that the higher-order processing of vocalizations could be realized by the discharge patterns of neuronal assemblies. In this view, the representation of vocalizations is carried out by dispersed and synchronized cortical cell assemblies that correspond to each individual vocalization in a specific and abstracted way (Rauschecker et al., 1995; Wang et al., 1995). However, several recent studies have again re-opened the hypothesis of a specialization of neurons for very complex sounds. For example, Rauschecker and Tian (2000) found some neurons in the lateral belt of primates responding to whole calls with a full frequency spectrum, but these neurons did not respond to low-pass-filtered or high-pass-filtered calls.

In this paper, data concerning the representation of communication calls in the inferior colliculus (IC), medial geniculate body (MGB) and auditory cortex (AC) of the guinea pig are

reviewed with the aim of describing the coding strategies for species-specific vocalizations and their transformation within the auditory system. The results of experiments performed in guinea pigs are discussed against the background of data obtained in other animal species.

Acoustical characteristics of vocalization signals

With respect to their acoustical pattern, communication sounds are typically complex sounds characterized by time-varying amplitudes and spectral properties. Vocalizations are therefore usually composed of multiple acoustical attributes, and individual neurons within particular nuclei of the auditory pathway may be responsive to a particular vocalization feature(s) or combinations of features. The acoustical patterns of species-specific vocalizations are quite stereotypic, but vocalizations are by their nature stochastic signals and have intrinsic statistical variations for each call type and caller. Statistical analysis of natural sounds and speech reveals that the statistics of spectrotemporal modulations differ from most artificial stimuli used to probe auditory function, including pure tones and white noise (see e.g. Table 1 in Escabí et al., 2003). Many, but not all, of the social communication calls of numerous species are tonal in nature - including those of primates, cats, several species of rodents, bats, birds, and frogs (see DiMattina and Wang, 2006, for review).

In the case of guinea pigs, there are 11 distinct vocalizations within the communication call repertoire (Berryman, 1976). Individual calls differ fundamentally in their acoustical features, and the diversity in the acoustical patterns of individual calls is apparent in both the temporal and spectral (frequency) domains. The temporal and spectral parameters of the four most common calls and their variability were described in Syka et al. (1997).

Purr (see Fig. 1A in Šuta et al., 2003) consists of a series of regular, very short impulses (~30 ms), whereas whistle (Fig. 1A) is a relatively long lasting call (~500 ms) with expressed frequency and intensity modulation. Another difference is in the repetition of individual components; some calls consist of one component (whistle - see Fig. 1A, chirp – see Fig. 2C), but

some are characterized by a stereotypic repetition of many components (chutter 1-10 – see Fig. 2B, purr 10-100). The spectral features also vary over a wide range: whistle and chirp are of broad frequency range (20 kHz), but purr and chutter are low-frequency calls below 5 kHz.

Inferior colliculus

The inferior colliculus (IC) is a midbrain auditory structure that integrates information from many ascending auditory pathways, descending corticotectal projections and intercollicular pathways. The processing of information is different in each of the three main subdivisions of the IC - the central nucleus (CNIC), the dorsal cortex (DCIC) and the external cortex (ECIC) - which may be distinguished morphologically as well as by different inputs and outputs. Syka et al. (2000) reported the response properties of neurons in the guinea pig in response to tonal and noise stimuli: in comparison with DCIC and ECIC neurons, CNIC neurons as a group were characterized by a sharper frequency tuning, a lower average threshold, a shorter average first-spike latency of response to tones at the characteristic frequency (CF), a higher occurrence of non-monotonic rate/level functions and a higher rate of spontaneous activity. Aitkin et al. (1994) pointed to differences between subdivisions of the IC in the cat apparent in responses to vocal stimuli, which were more effective in terms of higher firing rates than noise or CF stimuli in 27% of units in the CNIC, but in 82% in the ECIC and 72% in the DCIC. There were no units that responded exclusively to one vocal stimulus, but a high proportion of units in the ECIC responded strongly to broad-band stimuli, and some of these showed clear preferences for one vocal stimulus over others.

Neuronal responses in the CNIC of the guinea pig to simple stimuli (tones, noise bursts) demonstrate predominantly a sustained response pattern, but a significant portion of phasic neurons (~30% according to Syka et al., 2000) is present in the IC as well. They respond typically by an onset firing pattern at the beginning of the stimulus. The selectivity of guinea pig IC units for individual calls is very low, as the majority of units respond to all four used calls and the coding is rather based on coding of the spectrotemporal pattern of the species-specific vocalization by

discharge patterns of the IC neuronal responses (Šuta et al., 2003). The temporal envelope of the call is coded by the firing rate, except for the slow modulation of whistle (Fig. 1). Individual peristimulus time histograms (PSTHs), and especially average PSTHs, reliably copy the envelope of calls characterized by one or more short phrases (i.e., chirp, chatter, and purr; see Fig. 2 for the later two calls). In calls containing more than one component (phrase), such as purr and chatter, the acoustical patterns of these components are very stereotypic. Also, the individual peaks in the response pattern are very stereotypic with the exception of the 1st (onset) peak in the response to purr, which is enhanced more than would be expected from the acoustical pattern. Some variability in the peak amplitude of the sound is reflected in the response, given that a stronger response correlates with a greater intensity of the phrase.

The response seems to omit slow modulation of the sound envelope. This phenomenon is present mainly in the response to whistle, in which the sustained character of the response indicates only the presence of energy, but the slow changes in the sound envelope are not reflected in the modulations of the firing rate. This inability of units to follow the slow fluctuations in the envelope corresponds well with the weak synchronization between neuronal discharge and sound envelope as seen for sinusoidal amplitude-modulated tones at low modulation frequencies. The modulation transfer function of IC units typically has a band-pass character as shown by Rees and Moller (1983) in the rat and later by Rees and Palmer (1989) in the guinea pig.

The importance of the temporal structure of the call is demonstrated by the fact that whistle evoked a stronger response (by 24%) in the guinea pig than did the artificial, time-reversed whistle (Šuta et al., 2003). However, not every unit responded in this manner; there were neurons with a significantly stronger response to the natural (“forward-selective,” 30%) whistle as well as to the time-reversed whistle (“reverse-selective,” 15%).

Rate coding of the sound frequency spectrum demonstrates, in principle, whether the high intensity of a particular sound frequency band is reflected in an elevated firing rate of neurons having their CFs near that particular frequency and, vice versa, whether a lack of energy in a

frequency band results in no response of neurons with the appropriate CF. This type of analysis in the form of rate versus CF plots (Fig. 3), where the average response of units with a particular CF is calculated as an equivalent of the intensity of the appropriate spectral component in the stimulus, identifies some of the major spectral components of the sound. The spectral profile calculated from the response does not exactly fit the short-term spectrum, but it clearly marks the position of the main spectral peaks. There are two main discrepancies: in the relative magnitudes of the spectral peaks and in the representation of the higher harmonics. In some cases, at first the magnitudes of the sound spectral peaks are not exactly matched by the magnitudes of the peaks in the response profile. The magnitudes of the peaks in the low-CF region are lowered by inhibition, which predominantly occurs in low-CF units, and also the pattern of temporal modulation may affect the spectral profile because an onset firing of units within a particular CF range can be greater than a sustained firing within another CF range. Later, higher harmonics are not represented by several individual peaks but rather by a complex peak.

Several studies have demonstrated that not only the frequency spectrum, but also the pattern of its modulation are important aspects in the processing of vocalizations. Andoni et al. (2007) showed that most species-specific calls of Mexican free-tailed bats have downward-sweeping frequency-modulated (FM) components with sweep velocities that correspond with the preferred sweep velocities of IC neurons and hypothesized that this close quantitative correspondence among the features of signals and responses may suggest that IC cells are tuned by inhibition to respond optimally to spectral motion cues present in their conspecific vocalizations. Similarly, Kao et al. (1997) demonstrated in the rat IC that for some FM-sensitive neurons, the FM response may account for their responses to vocalization sounds.

In principle, the IC provides the encoding of the spectrotemporal acoustic patterns of vocalizations by IC units in such a way that the presence or absence of neural responses is a consequence of the tuning properties of the IC units and of the spectrotemporal acoustical pattern of the sound. Neuronal response patterns represent the temporal pattern of calls (except for slow

modulation) and the dominant spectral components of calls.

Medial geniculate body

The MGB represents the thalamic part of the auditory pathway, receiving projections from the IC and providing projections to the cerebral cortex. Its structure is non-homogeneous with three subdivisions, of which the ventral division is considered to be a specific auditory relay projecting to the AI area of the auditory cortex. In contrast to the IC, neuronal responses to simple stimuli such as tones or broad-band noise are predominantly of a phasic type (Fig. 2) with an expressed response to the onset of the stimulus (Kvašňák et al., 2000a; Wallace et al, 2007).

The transformation of neuronal responses in the MGB is apparent also in responses to communication calls. Šuta et al. (2007) demonstrated various levels of fidelity in temporal envelope representation – highly accurate in the case of calls with a rapidly modulated temporal structure such as chirp (Fig. 2C), but less precise for slow amplitude modulation as in the case of chatter (Fig. 2B) or whistle. Cells in both the ventral and medial divisions of the MGB showed a response that phase-locked to the fundamental frequency of the guinea pig purr and may be involved in analyzing communication calls (Wallace et al, 2007).

The neuronal response patterns in the MGB demonstrated a strong dependence of the firing pattern of the units on the spectral composition of the call (Fig. 1). A comparison between the sound spectrum features and the rate vs. CF profile (where neuronal firing is assigned according to the neuronal CF) typically indicated the dominant spectral components, but in some cases some local spectral peaks were intensified and created dominant elements in the rate vs. CF profiles (Šuta et al., 2007). This corresponds to Tanaka and Taniguchi's (1991) observation that the responses to vocalizations in the MGB may display discharge patterns that are not possible to predict from the properties of their responses to pure tones. Quantitative assessment demonstrated that in the case of call spectrum representation, the representation of the spectral features is preserved in the MGB for wideband calls similarly as in the IC, but it is less precise in the case of low-frequency calls.

A study of the functional connections between neurons within the MGB using cross-correlation analysis by Kvašňák et al (2000b) showed that in some neurons (15% of pairs) the change from one acoustical stimulus to another resulted in a change in the functional connection, which suggests that not only rate coding mechanisms as discussed above, but also neuronal synchrony may be employed in stimulus encoding at the level of the MGB.

Our results (Šuta et al., 2007) indicated that the firing rate for a natural whistle is not dramatically enhanced in comparison to a time-reversed one in the MGB of the guinea pig. The difference of 13% on average is lower than that found in the IC under comparable conditions (Šuta et al., 2003). Even weaker preference was reported in the study by Philibert et al. (2005), who compared the responses of auditory thalamus neurons in the guinea pig and rat to guinea pig vocalizations. The authors concluded that the thalamic neurons of guinea pigs and rats displayed a similar response strength to guinea pig vocalizations and did not exhibit a significant preference for the natural over the time-reversed version of the calls in either species.

The prevailing onset character of the neuronal responses to acoustical stimuli in the MGB significantly influences the character of MGB neuronal responses to vocalization signals. The representation of individual calls is affected to a different extent according to the different spectral and temporal features of individual calls – a less precise representation is present in the case of the temporal envelope for longer calls and of the spectral envelope for narrow-band calls.

Auditory cortex

The core area of the auditory cortex (AC) in the guinea pig consists of the primary AC (AI) and the dorsal field (DC), which are surrounded by several belt areas (Wallace et al, 2000). A detailed study of the cortical responses to the guinea pig repertoire of calls is, at the present time, lacking, but several studies (mostly based on responses to selected call samples) suggest a similarity between the responsiveness of the AI and the vMGB to some extent. The original description of the relationship between the MGB and AC in the processing of vocalizations comes from Creutzfeldt et

al. (1980). They analyzed synaptically connected neurons in the MGB and AI in unanesthetized guinea pigs and reported that all neurons in both the MGB and AI responded to a variety of natural calls of the same or of other species. The authors found that cortical neurons responded to pure tones more frequently with an onset response than did the corresponding neurons in the MGB. However, the responses of MGB cells to a call stimulation consisted of more components than the responses of cortical cells. Cortical neurons responded less precisely to calls consisting of a train of pulses; the repetitive elements of a call were not represented in cortical cell responses if the repetition rate was too high. On the other hand, Wallace et al. (2005) reported that the temporal structure of a train of pulses of the guinea pig call “purr” was represented with the same fidelity by the low-frequency cortical cells as by the low-frequency cells of the MGB.

The parameters of responses were not homogeneously distributed within the auditory cortex. Neurons in the dorsal belts of the guinea pig AC responded more strongly to broad-band stimuli than to pure tones, whereas neurons in the ventral belts responded better to pure tones, had longer onset latencies and gave a more sustained response than did units in the AI (Wallace et al., 2000). The non-homogeneous distribution of the properties of neuronal receptive fields has been shown within the primary AC in the cat (Schreiner, 1998). Neuronal receptive fields were interpreted as forming maps that represent specific stimulus information in a topographic way. The distribution of activity in the AI for elemental speech sounds had a patchy temporal-spatial pattern with multiple foci of activity. Non-homogeneous responses within the guinea pig AC have been recently suggested by Šuta et al. (2008), who showed that neuronal responses to calls consisting of several phrases (purr, chatter) were increasingly synchronized in the ventro-dorsal direction in the AI. Responses to vocalizations (chatter and whistle) may also vary between cortical layers. Wallace and Palmer (2008) demonstrated in guinea pigs that the response to the first phrase of chatter was smaller and occurred about 10 ms later in the deep layers in comparison with layers II and III, whereas the response to whistle was strongest in the deep layers of the AI.

Neuronal responses in the AC in particular cases resemble those in the MGB, but recent

studies have shown that, in principle, the auditory cortex forms representations of complex sounds that are no longer faithful replicas of their acoustic structures (Wang, 2007).

Effects of anesthesia

The majority of data presented above were obtained in anesthetized animals. Anesthesia is an important factor that can significantly influence sensory processing in the brain centers, therefore we must be aware of its effects and must consider its possible influence on the relevancy of the experimental data. Many previous papers have reported mainly a suppressive effect of various anesthetics on spontaneous activity in different cortical and subcortical nuclei (Evans and Nelson, 1973; Bock and Webster, 1974; Kuwada et al., 1989; Zurita et al., 1994), but much less is known about the impact of anesthetics on sound-evoked activity in the auditory system and on signal processing in the neuronal circuits. Several authors have demonstrated that the depth of anesthesia can modulate the variability of stimulus-evoked responses, the strength of inhibition, the type of response or the tuning properties of neurons (Kisley and Gerstein, 1999, Gaese and Ostwald, 2001; Anderson and Young, 2004). However, individual anesthetics can differ in their effect on neuronal activity (Cohen and Britt, 1982; Astl et al., 1996). IC neurons in animals anesthetized with a ketamine-xylazine mixture had a higher spontaneous firing rate, more frequently expressed sustained responses and had lower response thresholds than neurons recorded in animals under pentobarbital or urethane anesthesia (Astl et al., 1996).

The effects of anesthesia seem to be important when studying the processing of complex sounds, mainly species-specific vocalizations, that have a high behavioral impact. Whereas the effects of anesthesia on neuronal responses to simple acoustical stimuli is mostly suppressive, the effects of anesthesia on responses evoked by species-specific vocalizations are much more variable. The administration of anesthesia may increase or modify the response to some types of calls and suppress the response to others. A wide variety of response patterns to acoustical stimuli, in comparison with awake anaesthetized animals, was found in experiments in which single units were

recorded in the auditory cortex of non-anaesthetized cats (Gerstein and Kiang, 1964; Evans and Whitfield, 1964) or rats (Gaese and Ostwald, 2003). Elhilali et al. (2002) found more complex receptive field shapes, more complex spectral processing and increased selectivity in the direction of frequency modulation in cortical neurons recorded in awake ferrets than in ketamine-anesthetized animals. The results of our previous experiments (Syka et al., 2005) demonstrated a significant influence of ketamine-xylazine anesthesia on the responses of neurons in the auditory cortex of the guinea pig to species-specific vocalizations. The effect was, however, not uniform since the administration of anesthesia was able to increase the response of a neuron to some types of stimuli and suppress the response of the same neuron to others. It should be emphasized that a suppressive effect of anesthesia was more frequently seen than was response augmentation. Not only the response strength, but also the temporal pattern of the response to vocalization calls was changed in some cases under anesthesia, which may indicate a diversity in the impact of anesthesia on the synaptic inputs of the recorded neurons. The effect of anesthesia on the processing of acoustical information was evident not only at the cortical level, but also at the subcortical stages of the auditory system such as the cochlear nucleus (Anderson and Young, 2004), inferior colliculus (Kuwada et al., 1989; Astl et al., 1996; Torterolo et al., 2002) or medial geniculate body (Cotillon-Williams and Edeline, 2003; Massaux et al., 2004). Therefore, the modification of cortical activity can result not only from the direct effect of an anesthetic agent on intracortical processing, but also from modified subcortical activity or, most likely, from a combination of subcortical and intracortical effects.

Anesthesia has a significant effect on neuronal activity and influences the rate as well as the pattern of neuronal response; this argues for the use of awake and unrestrained animals, which, while respecting all ethical aspects of experimental work, seems to be an important step in adequately addressing key issues such as the neural representation of complex acoustical signals.

Conclusions

Recent findings indicate that many principles of the processing of complex acoustical stimuli in humans are shared among many species of mammals, which can be illustrated by a general decrease in the highest amplitude modulation frequencies that influence the neural response, either in terms of average rate or synchronization, as one records at higher and higher levels along the neuraxis (Joris et al., 2004) or by hemispherical asymmetries in the processing of complex stimuli (Wetzel et al., 1998; Rybalko et al, 2006; Zatorre and Belin, 2001). Although the data cited in this article are largely based on studies in the guinea pig, the neural coding strategies discussed here are likely applicable to the auditory system of other mammals, and data from the guinea pig model can be compared to studies performed in other species that describe the transformation of the neural representations of vocalizations and other complex stimuli from the IC to the AC (for example, by Bartlett and Wang, 2007, in primates).

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Figure legends:

Fig. 1 **A** Spectrogram (top) and waveform (bottom) of the guinea pig call whistle. The horizontal line in the spectrogram separates the low-frequency band (below 8 kHz) and the high-frequency band (above 8 kHz). **B** Average responses (PSTHs) in the IC (left) and MGB (right) are shown separately for neurons with a characteristic frequency below 8 kHz (bottom) and above 8 kHz (top). Each PSTH is accompanied by the waveform of the appropriate frequency component of whistle. Data are adapted from Šuta et al. (2003) for the IC and Šuta et al. (2007) for the MGB.

Fig. 2 **A** The percentage of neurons characterized as tonic and phasic according to their response to pure tones is compared for the IC and MGB. Data for the IC are adapted from Syka et al. (2000) and data for the MGB from Kvašňák et al. (2000a). **B** The spectrogram of one component of chatter (marked by the box in the chatter waveform on bottom panel) is shown in the top left panel whereas the top right panel compares the average response in the IC (bold) and MGB (thin). Horizontal bars illustrate the response duration measured at 50% of the maximal response in the IC and MGB. **C** Bottom panel shows the waveform of chirp. The spectrogram of chirp (marked by the box in the chirp waveform) is shown in the top left panel whereas the top right panel compares the average response in the IC (bold) and MGB (thin). Data for plots **B** and **C** are adapted from Šuta et al. (2003) for the IC and Šuta et al. (2007) for the MGB.

Fig. 3 Comparison of sound short-time frequency spectra (bottom) with a neuronal rate vs. CF profile in the IC (middle) and in the MGB (top) for two different time-intervals of whistle. Each point in the rate vs. CF profile represents the average response (y-axis) of all neurons with a CF within ± 0.175 octave from the particular CF (x-axis).

A. A lack of sound energy below 1 kHz and above 10 kHz is reflected in a weak or absent response of neurons with a CF within this range in both the MGB and IC. **B.** No response of neurons with a $CF < 2$ kHz corresponds with the lack of energy in the call in this frequency range.

Data adapted from Šuta et al. (2005, 2007).

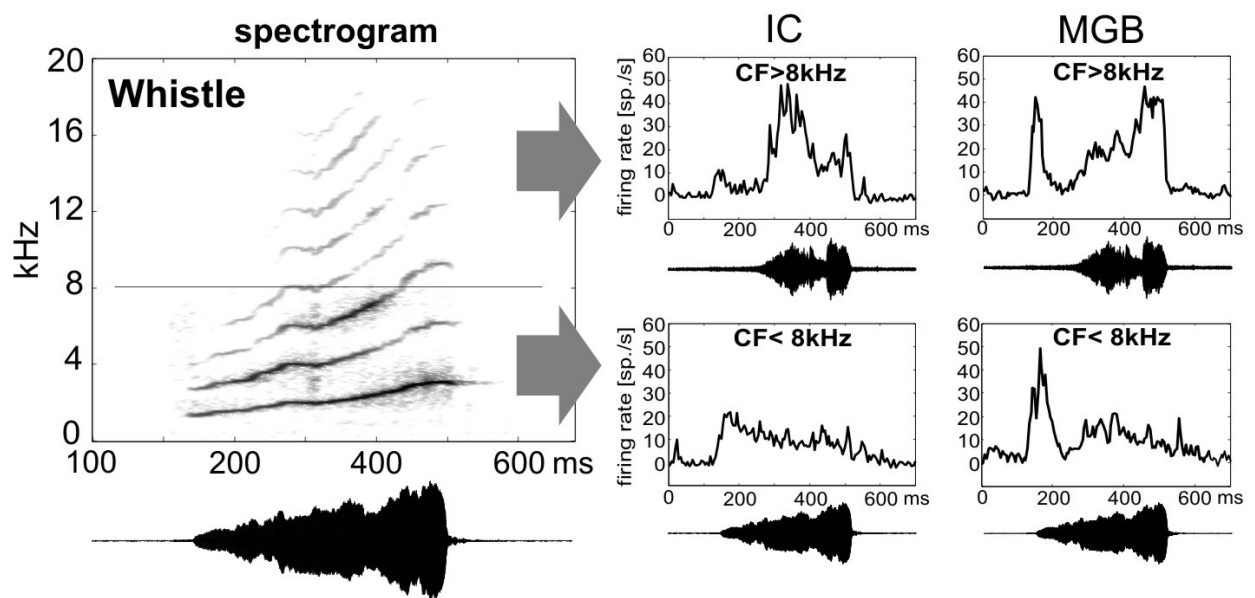


Fig. 1

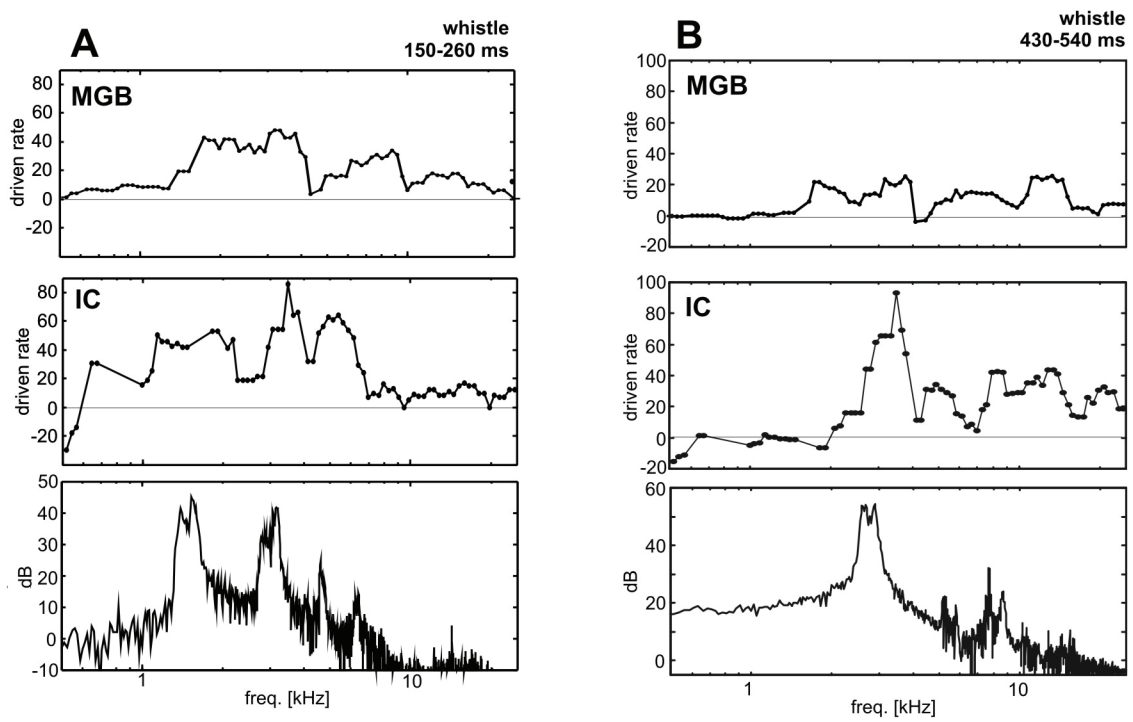


Fig. 3

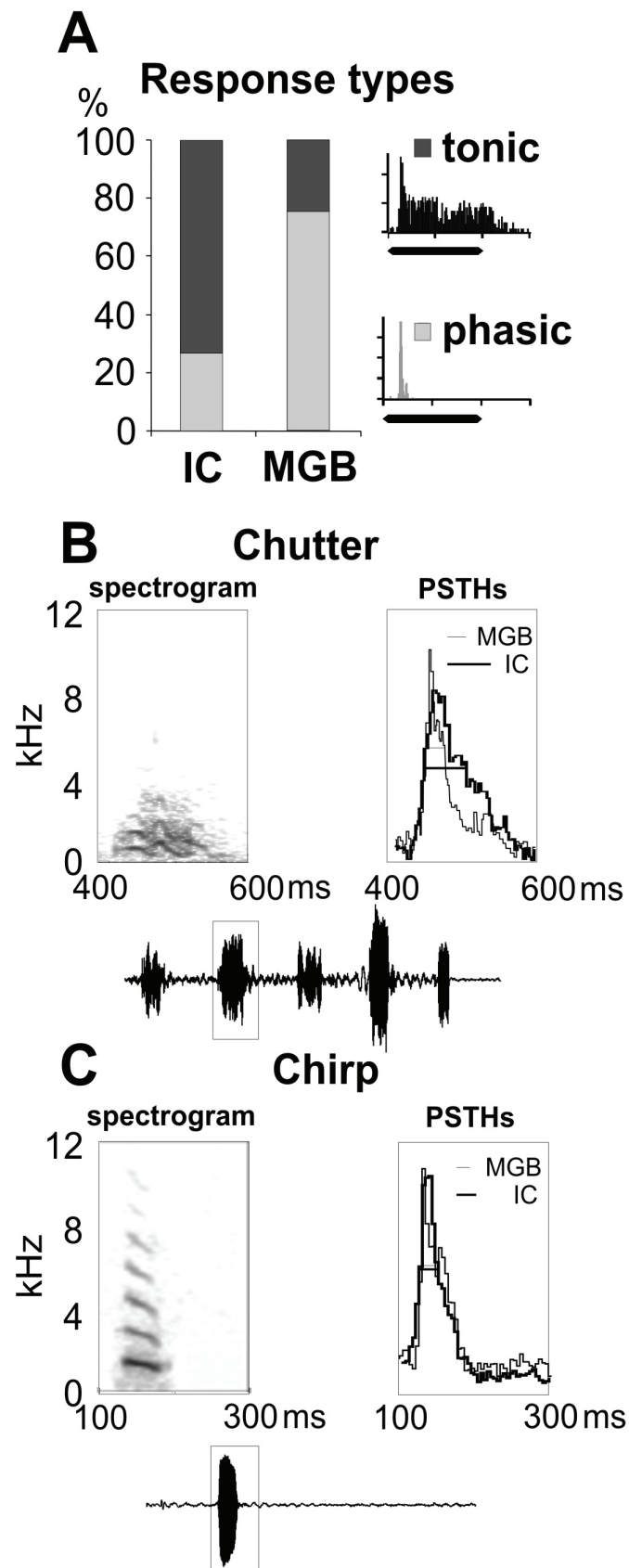


Fig. 2