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1 Aspalathin, a *C*-glucosyl dihydrochalcone from rooibos improves the hypoglycemic potential of

2 metformin in type 2 diabetic (*db/db*) mice

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- 20 Short title: Aspalathin improves the antidiabetic effect of metformin

22 Summary

Metformin is the first line therapy of type 2 diabetics, but continued reduction of their life expectancy 23 24 warrants further investigation into alternative treatment strategies. This study reports on the 25 combinational use of metformin with aspalathin, a C-glucosyl dihydrochalcone with known glucose 26 lowering and antioxidant properties, as an effective hypoglycemic therapy in a type 2 diabetic (db/db)27 mouse model. When tested as a monotherapy, a low dose of aspalathin (13 mg/kg) showed no effect, 28 while a high dose (130 mg/kg) has already displayed a better potential than metformin in protecting against diabetes associated symptoms in db/db mice. Thus, it remains of interest to determine whether this 29 dihydrochalcone can improve the efficacy of metformin. The results showed that this combination therapy 30 31 was more effective than the use of metformin as a monotherapy in ameliorating diabetes associated 32 symptoms, including abnormal raised fasting plasma glucose levels, impaired glucose tolerance, as well 33 as excessively increased body weights and fat content. The treated mice also had reduced food and water consumption when compared to untreated controls, with a pronounced effect evident in the last week of 34 35 treatment. Therefore, this study supports further investigations into the ameliorative effect of combination 36 therapy of metformin and aspalathin against diabetes associated symptoms.

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38 Key words

39 Combination therapy; metformin; aspalathin; diabetes.

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42 Introduction

Metformin is a well-established first-line drug for the treatment of type 2 diabetes mellitus. In addition to 43 44 lowering blood glucose levels and improving insulin sensitivity, mainly through enhancing skeletal 45 muscle or adipose glucose uptake and suppressing hepatic glucose production, metformin presents some antiinflammatory and oxidative stress ameliorative properties that are important in combating diabetes 46 associated symptoms (Hur and Lee, 2015). However, due to the rapid rise in diabetes related deaths 47 48 (Interntional Diabetes Federation, 2017), it is hypothesized that the glucose-lowering efficacy of metformin might diminish over time. Furthermore, the efficacy of metformin can be influenced by genetic 49 variation for some individuals, for example those that lack the organic cation transporter 1 (Oct1) gene, 50 51 which is a major thiamine transporter of this biguanide predominantly expressed in the liver (Shu et al., 52 2007). This suggests that dual therapy approaches which improves the efficacy of metformin in 53 individuals lacking Oct1 are likely to be beneficial. Indeed, increased exploration of combination drug 54 therapy as an additional mechanism to improve the efficacy of metformin has been evident (Frendo-Cumbo et al., 2016; Wu et al., 2016). 55

56 Of interest is the use of metformin in combination with aspalathin, a C-glucosyl dihydrochalcone 57 abundantly found in rooibos (Aspalathus linearis) with known metabolic benefits. Literature on the 58 beneficial effects of aspalathin or its enriched green rooibos extract has recently been reviewed by our 59 group (Johnson et al., 2018). In addition to its enhanced capacity to reduce elevated fasting blood glucose concentrations in obese and diabetic rodent models, the strong antioxidant and antiinflammatory 60 61 properties of aspalathin in preventing diabetes associated symptoms are discussed. Furthermore, we have 62 recently demonstrated interesting data showing that an add-on effect of metformin and aspalathin is more 63 effective than the use of each compound alone in preventing shifts in substrate preference and apoptosis in cultured cardiomyocytes exposed high glucose concentrations (Johnson et al., 2016). In a type 2 64 65 diabetic (db/db) mice, an aspalathin dose of 130 mg/kg performs better than metformin in ameliorating diabetes associated cardiac injury (Dludla et al., 2017; Johnson et al., 2017). Therefore, it remains of 66

67 interest to further assess the combinational use of this biguanide and aspalathin in the modulation of68 glucose homeostasis and associated complications in a *db/db* mouse model.

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70 Research design and methods

All animal experiments were approved and performed according to the South African Medical Research 71 72 Council (SAMRC) Ethics Committee for Research on Animals (ECRA no. 07/13), and the Stellenbosch University Ethics Committee (SU-ACUM13-00021). Male C57BLKS/J homozygous (db/db) mice and 73 74 their heterozygous leptin-receptor-deficient nondiabetic lean littermate controls (db/+) were obtained from Jackson's Laboratories (Sacramento, USA) and housed, individually in a cage, at the Primate Unit 75 76 and Delft Animal Centre (PUDAC) of the SAMRC in a controlled environment with a twelve-hour 77 light/dark cycle (lights switched on at 6:00 AM and switched off at 6:00 PM), in a temperature range of $23-25^{\circ}$ C (relative humidity: ~50%). Mice had unlimited access to water and standard mouse chow 78 79 (Afresh Vention, Cape Town, South Africa).

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81 Treatment groups

82 Nine-week old db/db mice together with their db/+ controls (n=six/per group) were randomly divided into 5 groups. Diabetic mice were treated daily for six weeks through oral gavage with metformin (150 83 84 mg/kg) monotherapy or a combination of metformin (150 mg/kg) with a low dose (13 mg/kg/day) or high dose (130 mg/kg/day) aspalathin. Untreated nondiabetic (db/+) and untreated diabetic (db/db) mice 85 served as controls. Aspalathin (batch: SZI-356-54) was synthesized by High Force Research (Durham, 86 UK) as per previously published protocol (Han et al., 2014) while metformin (99% purity) was bought 87 from Sigma-Aldrich (St. Louis, USA). Treatment compounds were dissolved in distilled water before 88 orally administration daily at the same time (08:00-09:00 a.m.), while untreated animals were given water 89

90 in place of treatment. The doses of metformin and aspalathin were based on previously published studies
91 (Dludla *et al.*, 2017; Johnson *et al.*, 2017).

92

93 Parameters measured in mice

Body weights, food and water intake as well as fasting plasma glucose concentrations were determined at 94 95 baseline and every week for six weeks. The cages were changed regularly to avoid dirtiness that may 96 interfere with food measurements. Porcelain containers with stand were used to provide food and the 97 design of the containers prevented them from being tipped over, hence avoiding the spillage of food. The intake for each mouse could be monitored since they were caged individually. Fasting plasma glucose 98 99 concentrations were determined on a weekly basis on 4 hour fasted mice by tail pricks using a OneTouch Select handheld glucometer (LifeScan, Milpitas, USA). The oral glucose tolerance test was done after the 100 101 six-week treatment period. Briefly, after a 16-hour fast, mice were given treatments an hour earlier and 102 allowed to settle for an additional hour before a 2 g/kg glucose was orally administered through gastric gavage before plasma glucose concentrations were determined by tail prick at time intervals of 0, 30, 60, 103 104 and 120 minutes. Homeostatic model assessment-insulin resistance (HOMA-IR) was calculated using fasting plasma glucose and fasting plasma insulin values, as per previously described method (Matthews 105 106 et al., 1985). Fasting plasma insulin was determined using the radioimmunoassay kit (Linco Research, Inc., St. Charles, MO, USA), as per manufacturer's instructions. 107

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109 Measurement of fat weight in mice

110 After the six-week treatment period, mice were fasted for 4 hours and body weight measurements

111 documented before being anesthetized with halothane (Safeline Pharmaceuticals; Johannesburg, South

112 Africa). Mice received the anesthetic until no reaction could be recorded by pedal reflex before fats

113 (gonadal and intraperitoneal) were removed and weighed.

115 Statistical analysis

116Results were expressed as the mean \pm SEM. Each treatment group contained six mice. Statistical analysis117was performed using GraphPad Prism software version 5.0 (Graph- Pad Software, Inc., La Jolla, USA).118Comparisons between groups were performed using one-way multivariate ANOVA followed by a Tukey119post hoc, while two-way ANOVA was used for multiple comparisons. A *p*-value < 0.05 was deemed as</th>120statistically significant.

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122 Results and discussion

Leptin receptor deficient (*db/db*) mice provide an essential model to study type 2 diabetes associated symptoms. These mice spontaneously become obese and hyperglycemic, and in the process display similar features to type 2 diabetic individuals, for example they develop insulin resistance, which may initiate as early as the sixth week of age (King, 2012). This explains the use of this model to assess the therapeutic potential of various drug compounds, including aspalathin for their antidiabetic activity (Kawano *et al.*, 2009; King, 2012; Johnson *et al.*, 2017).

129 The current study showed that untreated nine-week-old *db/db* mice, compared to nondiabetic controls,

130 presented altered glucose homeostasis evident from irregularly elevated fasting plasma glucose

131 concentrations, impaired oral glucose tolerance, as well as raised HOMA-IR, an accomplished measure of

insulin resistance (Fig. 1 A, B, C, and D). Some of the additional anomalies displayed by untreated

diabetic mice were significantly increased body weight, occurring concurrent to dysregulated food and

134 water intake (Table 1; Fig. 2A, C, and D). This was consistent with an elevated fat to body weight ratio in

135 untreated diabetic mice compared to untreated nondiabetic controls (Fig. 2B). However, the combination

therapy presented a better effect than the use of metformin as a monotherapy in ameliorating diabetes

associated symptoms assessed in our model (Table 1; Fig. 1 and 2). However, from our results,

138 inconsistencies were observed where treatment did not show a uniform effect for some parameters measured weekly, especially the fasting plasma glucose concentrations (Fig. 1 and 2). Although several 139 140 factors could explain this consequence, the small sample size of animals used per each group might be responsible, affecting the confidence intervals and *p*-values as previously reported (Du Prel *et al.*, 2009; 141 142 Dludla et al., 2017). Other factors could relate to the severity of this diabetic model, presenting with high levels of hyperglycemia which could not be properly monitored with the use of One Touch Select 143 144 glucometers, suggesting that other sensitive methods like ELISA kits should be considered for future 145 studies. Nonetheless, although the effect was moderate and hardly separable between both doses assessed, 146 a low dose (13 mg/kg/day) of aspalathin showed a better effect than its high dose in reducing raised blood 147 glucose concentrations and improving glucose tolerance, while the high dose (130 mg/kg/day) showed a 148 greater effect in reducing fat content and increased body weights than the low dose. Interestingly, the 149 effect of both doses was more pronounced in the last week of treatment (week six), suggesting that long-150 term treatment with combination therapy might be more effective than short term treatment. However, 151 this hypothesis needs further assessment since it already known that aspalathin demonstrates low 152 bioavailability when assessed using an in vitro intestinal epithelial monolayer (Caco-2) transport model 153 (Bowles et al., 2017).

154 This is the first study to report on the beneficial effect of combining metformin and aspalathin in ameliorating diabetic associated symptoms in a *db/db* mouse model. Furthermore, the results presented 155 156 here support available data showing the superior effect of metformin when combined with natural products such as resveratrol, a phytoalexin stilbenoid, or salvianolic acid A, a polyphenol derivative 157 158 isolated from the roots of Salvia miltiorrhiza, in combating diabetic symptoms in high fat diet fed or 159 streptozotocin-induced diabetic mice (Frendo-Cumbo et al., 2016; Wu et al., 2016). The beneficial effect 160 of combination therapy from these studies is partially modulated through regulation of phosphatidylinositol-4,5-bisphosphate 3-kinase/protein kinase B (PI3K/AKT), AMP-activated protein 161 162 kinase (AMPK), as well as nuclear factor (erythroid-derived 2)-like 2 (NRF2), the well-investigated

signaling mechanisms involved in insulin signaling and prevention of hyperglycemia-induced complications such as inflammation and oxidative stress. Interestingly, similar mechanisms have also been identified by studies assessing the antidiabetic potential of aspalathin or in combination with metformin, as recently reviewed by our group (Johnson *et al.*, 2018). Such combination therapy has the potential to provide value to effective management of diabetes mellitus. Aspects that still need investigation are molecular mechanisms associated with the beneficial effect of combination therapy of metformin and aspalathin, the pharmacokinetics profile, and the long-term effect of this treatment.

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180 **Conflict of interest**

181 The authors report no conflicts of interest. All authors are responsible for the content and writing of the182 paper.

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229 List of tables

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Table 1. Body weights, cumulative food and water intakes, and insulin concentrations of rats treated for 6

weeks with metformin or a combination of metformin and aspalathin.

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	db/+	db/db	db/db+MET	db/db+MET+ASP LD	db/db+MET+ASP HD
BW (g)	27 ± 1	35 ± 2^{aa}	36 ± 2^{aa}	37 ± 2^{aa}	36 ± 2^{aa}
CFI (g)	148 ± 7	279 ± 10^{aaa}	$260\pm5^{aaa\ b}$	$247 \pm 5^{aaa bb}$	$265 \pm 7^{aaa bb}$
CWI (ml)	494 ± 7	1075 ± 47^{aaa}	1015 ± 32^{aaa}	$951 \pm 36^{aaa bb}$	$916 \pm 33^{aaa bbb c}$
INS (ng/ml)	0.4 ± 0.1	1.9 ± 1	1.1 ± 0.2	0.7 ± 0.1 ^b	0.6 ± 0.3 ^b

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Results are represented as the Mean ± SEM. ^{aaa} P<0.001, ^{aa} P<0.01 vs untreated nondiabetic control
(db/+); ^{bbb} p<0.001, ^{bb} p<0.05 vs untreated diabetic control (db/db); and ^c p<0.05 vs diabetic
group treated with metformin only (db/db+MET). Abbreviations: ASP LD, aspalathin low dose; ASP HD,
aspalathin high dose; BW, body weight; CFI, cumulative food intake; CWI, cumulative water intake;
INS, insulin; MET, metformin.

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300	Figure 1. The combination effect of metformin and aspalathin on fasting plasma glucose levels (A),
301	homeostasis model assessment: insulin resistance (HOMA-IR; B), impaired glucose tolerance (C), and
302	area under the curve (D) in db/db mice. Each value represents the mean \pm SEM of six mice. Comparisons
303	between groups were performed using one-way multivariate ANOVA followed by a Tukey post hoc,
304	while two-way ANOVA was used for multiple comparisons. A p value of <0.05 was deemed as
305	statistically significant. Although not represented on graphs A and B, all diabetic animals (db/db) showed
306	significant difference (p<0.001) when compared to nondiabetic control (<i>db</i> /+). $^{a}p < 0.05$, $^{aaa}p < 0.001$
307	versus $db/+$; ^b p < 0.05 versus db/db ; ^d p < 0.05 versus diabetic mice treated with metformin and a low
308	dose aspalathin (db/db + MET + ASP LD); and $^{e} p < 0.05$ versus diabetic mice treated with metformin
309	and a high dose aspalathin (db/db + MET + ASP LD).
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374 Figure 2. The combination effect of metformin and aspalathin on body weights (A), fat weight to body weight (B), cumulative food intake (C), and cumulative water intake (D) in *db/db* mice. Each value 375 represents the mean ± SEM of six mice. Although not represented on the graph A, C and D, all diabetic 376 377 animals (db/db) showed significant difference (p<0.001) when compared to nondiabetic control (db/+). ^{aaa}p < 0.001 versus db/+; ^bp < 0.05, ^{bb}p < 0.001 versus db/db; ^cp < 0.05, ^{cc}p < 0.01 versus diabetic mice 378 treated with metformin only (db/db + MET); d p < 0.05, dd p < 0.01, ddd p < 0.001 versus diabetic mice 379 treated with metformin and a low dose aspalathin (db/db + MET + ASP LD); $^{e} p < 0.05$, $^{ee} p < 0.01$, $^{eee} p < 0.01$, 380 0.001 versus diabetic mice treated with metformin and a high dose aspalathin (db/db + MET + ASP LD). 381

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